



Receipt

PATENT

Our Docket: P-TB 5072

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Examiner: Not Yet Assigned  
Sem et al. )  
 ) Group Art Unit: 1631  
 )  
Serial No.: 10/040,895 ) I hereby certify that this correspondence  
 ) is being deposited with the United States  
Filed: December 28, 2001 ) Postal Service as first class mail in an  
 ) envelope addressed to: Commissioner for  
For: METHODS FOR PREDICTING ) Patents, Attn: Office of Initial Patent  
FUNCTIONAL AND STRUCTURAL ) Examination Customer Service Center,  
PROPERTIES OF POLYPEPTIDES ) Washington, D.C., 20231 on June 24, 2002.  
USING SEQUENCE MODELS )  
By: John T. Murphy, Reg. No. 50,583  
June 24 2002  
Date of Signature

Commissioner for Patents  
Washington, D.C. 20231

ATTN: Office of Initial Patent Examination  
Customer Service Center

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SEP 04 2002

TECH CENTER 1600 2900

CORRECTION OF FILING RECEIPT

Sir:

The filing receipt issued in the above-identified application is incorrect for the reasons set forth below:

This application claims priority of U.S. Provisional Application No. 60/367,371, filed December 29, 2000, which was converted from U.S. Serial No. 09/753,020 as shown on the Transmittal Form (Exhibit B) and Decision on Petition (Exhibit C). Please note this priority on the corrected filing receipt.

Attached is a copy of the Filing Receipt (Exhibit A) with the changes noted thereon. Applicants accordingly request

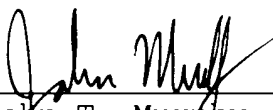
Inventors: Sem et al.  
Serial No.: 10/040,895  
Filed: December 28, 2001  
Page 2

that these corrections be made of record and that an amended Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge any fees to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

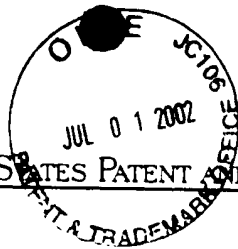
Date: June 24, 2002

  
\_\_\_\_\_  
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UNITED STATES PATENT AND TRADEMARK OFFICE



DLG/JTM  
Page 1 of 2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,895 ✓	12/28/2001 ✓	1631	543 ✓	P-TB 5072	27 ✓	32	3 ✓

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

CONFIRMATION NO. 1917  
UPDATED FILING RECEIPT



\*OC000000008140363\*

Date Mailed: 05/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ✓

Daniel S. Sem, San Diego, CA;  
Brian Baker, Poway, CA;  
Mark R. Hansen, San Diego, CA;

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SEP 01 2002

TECH CENTER 1600 2000

Domestic Priority data as claimed by applicant

This application claims priority of 60/367,371, Filed 12/29/00,  
which was converted from 09/753,020  
Foreign Applications

If Required, Foreign Filing License Granted 02/04/2002

Projected Publication Date: 07/03/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title ✓

Methods for predicting functional and structural properties of polypeptides using sequence models

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MAY 24 2002

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EXHIBIT A

**Preliminary Class**

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Inventor(s): Sem et al.  
Docket No.: P-TB 5072  
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X This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/\_\_\_\_\_ (yet to be assigned), filed December 29, 2000, which was converted from U.S. Serial No. 09/753,020, and entitled METHODS FOR PREDICTING FUNCTIONAL AND STRUCTURAL PROPERTIES OF POLYPEPTIDES USING SEQUENCE MODELS, and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	32-20	=	12	x	\$9	\$18	=	\$	\$
Indepen- dent Claims	3 - 3	=	0	x	\$42	\$84	=	\$	\$
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$140	\$280		\$	\$
					BASIC FEE			\$370	\$740
					TOTAL FEE			\$	\$

\_\_\_ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.

X The payment of the filing fee is to be deferred until the Declaration is filed. Do not charge our deposit account.

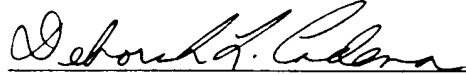
\_\_\_ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell  
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USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: December 28, 2001

  
Deborah L. Cadena  
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June 5, 2002

Page #09

Campbell & Flores LLP  
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In re Application of:  
Sem, et al  
Application No.: 09/753020  
Filed: December 29, 2000  
Attorney Docket: P-TB 4543

DECISION ON PETITION

This is a decision on your petition received in the Patent and Trademark Office on December 29, 2001, and treated as a petition under 37 CFR 1.53(b)(2)(ii) to convert the above identified application to a Provisional application under 35 U.S.C. 111 (b) and 37 CFR 1.53(b)(2).

The petition is granted.

The application will be processed in the Office of Initial Patent Examination (OIPE) as a Provisional application under 35 U.S.C. 111(b) and 37 CFR 1.53(b)(2), including the assignment of a new Provisional application number.

The Provisional application serial number is 60/367371. The filing receipt for the Provisional application number will be communicated to applicant by OIPE in due course.

John Dill, Lead Legal Instruments Examiner  
Office of Initial Patent Examination  
(703) 308-0910

